

RECEIVED

SEP 06 2000

RECEIPT  
FILE COPY  
PATENT

TECH CENTER 1600/2900

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231.

Date

August 17, 2000

Judith A. Breaks

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5  
Plunk  
9/14/00

Applicants : Jiangchun Xu et al.  
Application No. : 09/439,313  
Filed : November 12, 1999  
For : COMPOSITIONS AND METHODS FOR THERAPY AND  
DIAGNOSIS OF PROSTATE CANCER

Art Unit : 1643  
Docket No. : 210121.427C9  
Date : August 17, 2000

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents:

Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There are errors with respect to the following data, which is incorrectly entered.

There is an error in one of the applicants' names, which should read as follows:

**JIANGCHUN XU**

One of the applicants' names was omitted from the list. It should read as follows:

**JENNIFER L. MITCHAM, REDMOND, WA**

In addition, the Attorney and firm names have changed. Please list the Attorney and firm names as follows:

**JANE E. R. POTTER  
SEED INTELLECTUAL PROPERTY LAW GROUP, PLLC**

The corrections to be made have been marked in red on the copy of the enclosed Filing Receipt. To substantiate this request, we have enclosed a copy of the Declaration filed in the application.

The corrections are not due to any error by applicant and no fee is due.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC



---

Gary M. Myles, Ph.D.  
Registration No. 46,209

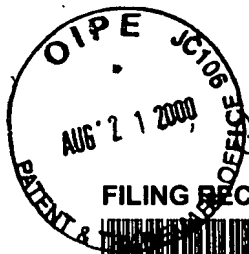
JEP:ad

Enclosures:

Postcard  
Copy of Filing Receipt with Corrections  
Copy of Declaration

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

L:\210121 - Corixa\427C9\Filing Receipt Correction.doc



RECEIVED

file

JEP

## FILING RECEIPT



\*OC00000005061833\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/439,313	11/12/1999	1643	4630	210121.427C9	12	64	16

JANE E.R. POTTER

DAVID J. MAKI  
SEED AND BERRY  
6300 COLUMBIA CENTER  
701 FIFTH AVENUE  
SEATTLE, WA 981047092

RECEIVED

APR 25 2000

SEED INTELLECTUAL PROPERTY  
LAW GROUP PLLC

Date Mailed: 04/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Jiangchun Xu

Jennifer L. Mitcham, Redmond, WA →  
~~JIANGCHUN Xu~~, Bellevue, WA ; ✓  
 Davin C. Dillon, Redmond, WA ; ✓  
 Susan L. Harlocker, Seattle, WA ; ✓  
 Jiang Yuqiu, Kent, WA ; ✓  
 Steve G. Reed, Bellevue, WA ; ✓  
 Michael D. Kalos, Seattle, WA ; ✓  
 Gary R. Fanger, Mill Creek, WA ; ✓  
 Marc W. Retter, Bellevue, WA ; ✓  
 John A. Stolk, Bothell, WA ; ✓  
 Craig H. Day, Seattle, WA ; ✓

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/352,616 07/13/1999 ✓  
 WHICH IS A CIP OF 09/288,946 04/09/1999 ✓  
 WHICH IS A CIP OF 09/232,149 01/15/1999 ✓  
 WHICH IS A CIP OF 09/159,812 09/23/1998 ✓  
 WHICH IS A CIP OF 09/115,453 07/14/1998 ✓  
 WHICH IS A CIP OF 09/030,607 02/25/1998 ✓  
 WHICH IS A CIP OF 09/020,956 02/09/1998 ✓  
 WHICH IS A CIP OF 08/904,804 08/01/1997 ✓  
 WHICH IS A CIP OF 08/806,099 02/25/1997 ✓

## Foreign Applications

If Required, Foreign Filing License Granted 12/08/1999



RECEIVED

SEP 06 2000

TECH CENTER 1600/2900

## COMPOSITIONS AND METHODS FOR THERAPY AND DIAGNOSIS OF PROSTATE CANCER

## Preliminary Class

435

Data entry by : MARTIN, DIANE

Team : OIPE

Date: 04/19/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

<b>SERIAL NUMBER</b> 09/439,313	<b>FILING DATE</b> 11/12/1999 <b>RULE</b> -	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1643	<b>ATTORNEY DOCKET NO.</b> 210121.427C9
------------------------------------	---	---------------------	-------------------------------	--

**APPLICANTS**

Jiangchun Xu, Bellevue, WA ;  
Davin C. Dillon, Redmond, WA ;  
Jennifer L. Mitcham, Redmond, WA ;  
Susan L. Harlocker, Seattle, WA ;  
Jiang Yuqiu, Kent, WA ;  
Steve G. Reed, Bellevue, WA ;  
Michael D. Kalos, Seattle, WA ;  
Gary R. Fanger, Mill Creek, WA ;  
Marc W. Retter, Bellevue, WA ;  
John A. Stolk, Bothell, WA ;  
Craig H. Day, Seattle, WA ;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/352,616 07/13/1999  
WHICH IS A CIP OF 09/288,946 04/09/1999  
WHICH IS A CIP OF 09/232,149 01/15/1999  
WHICH IS A CIP OF 09/159,812 09/23/1998  
WHICH IS A CIP OF 09/115,453 07/14/1998  
WHICH IS A CIP OF 09/030,607 02/25/1998  
WHICH IS A CIP OF 09/020,956 02/09/1998  
WHICH IS A CIP OF 08/904,804 08/01/1997  
WHICH IS A CIP OF 08/806,099 02/25/1997 ABN

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE****GRANTED \*\* 12/08/1999**

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> WA	<b>SHEETS DRAWING</b> 12	<b>TOTAL CLAIMS</b> 64	<b>INDEPENDENT CLAIMS</b> 16
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature: <i>[Signature]</i> Initials: <i>[Initials]</i>				

**ADDRESS**

JANE E R POTTER  
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC  
6300 COLUMBIA CENTER  
701 FIFTH AVENUE  
SEATTLE, WA 98104-7092

<b>TITLE</b>		
<b>COMPOSITIONS AND METHODS FOR THERAPY AND DIAGNOSIS OF PROSTATE CANCER</b>		
<b>FILING FEE RECEIVED 4630</b>	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit